

Written Authorization (書面授權) - Rolling Balance Cash Client (滾存結餘現金客戶)

Pursuant to Section 21 (2) of the Securities and Futures (Financial Resources) Rules (Chapter 571N), I / we hereby authorize you, in respect of any amount receivable from, and amount payable to, me / us, where such amounts arise from the purchase and sale of securities by me / us on a cash-against-delivery basis, to-

- (a) set-off such amounts against each other; and
- (b) dispose of securities held for me / us for the purpose of settling any of the amounts payable by me / us to your Company.

因應《證券及期貨(財政資源)規則》第 571N 章 21 條(2), 本人 / 我們授權 貴公司可將應從本人 / 我們收取的款項與應向本人 / 我們支付的款項互相抵銷, 但該等款項須是因本人 / 我們以銀貨兩訖形式買賣證券而產生的, 而 貴公司亦已獲本人 / 我們書面授權—

- (a) 將該等款項互相抵銷; 及
- (b) 為清償本人 / 我們應支付予 貴公司的款項而處置為本人 / 我們持有的證券。

Client Consent (客戶同意函)

I / We acknowledge that I / We have read and understand the content of Schedule C of your Client Agreement regarding collection and handling of personal Data for the purposes of Hong Kong Investor Identification Regime (HKIDR) and Over-the-Counter Securities Transactions Reporting Regime (OTCR). I / We hereby declare that I / We acknowledge and agree with all the terms set out in the Schedule.

本人 / 我們確認本人 / 我們已閱讀及明白 貴公司客戶協議書附錄 C 中有關為滿足香港投資者識別制度 (HKIDR) 及場外證券交易報告制度 (OTCR) 的要求收集及處理個人資料的內容。本人 / 我們特此聲明本人 / 我們確認並同意載於該附表內的所有條款。

Signature(簽署) : _____

Client Name(簽署人姓名) : _____

Client Account(戶口號碼) : _____

Date(日期) : _____ (dd/mm/yyyy)