

Client Credit Facilities 客戶信用額度  
Personal Guarantee by Guarantor 個人擔保

Date: \_\_\_\_\_

I, \_\_\_\_\_ (HKID/Passport No. \_\_\_\_\_)\*, being the Guarantor of the below-mentioned client account, hereby take up full responsibility (financial or otherwise) for the credit limit/ trade limit/ margin loan limit granted to the following Client and undertake to keep CNI Securities Group Limited and its directors, officers, employees and agents indemnified against all claims, demands, actions, proceedings, damages, losses (financial or otherwise), costs and expenses incurred by CNI Securities Group Limited arising (directly or indirectly) from the above financial facility/ accommodation granted to the following client.

本人 \_\_\_\_\_ (姓名及香港身份證/護照號碼 \_\_\_\_\_)\*, 作為下述客戶賬戶的擔保人，特此承擔中國北方證券集團有限公司對下列客戶授予的信用額度/交易限額/保證金貸款限額的全部責任（財務或其他），並承諾賠償中國北方證券集團有限公司及其董事，高級職員，員工和代理人因中國北方證券集團有限公司（直接或間接）從下述客戶之信用額度/貸款所產生的所有索賠，要求，法律行動，訴訟，損害賠償，損失（財務或其他），及成本和相關費用向中國北方證券集團有限公司進行賠償。

I hereby unconditionally and irrevocably guarantee any liabilities, duties, fees and expenses incurred by the Client resulting from the transactions that he/she/it perform via the account(s) which he/she/it maintains with you and honor the settlement of such liabilities upon your notification to me/us of the Client's breach of duties and demand for my/our payment(s) in case the Client failed to honor the settlement concerned accordingly.

本人在此無條件且不可撤銷地擔保下述客戶因其通過於中國北方證券集團有限公司持有的賬戶進行交易而產生的任何負債，義務，費用和開支，並清償其所欠債務。如客戶未能履行清償債務責任，本人將應 貴公司通知客戶違反還款職責及/或客戶拒絕及/或不能付款的情況下承擔所有有關責任。

\* Please attach copies of HKID card, proof of residential address of the guarantor and the ID copies of witness.

請提供擔保人香港身份證或護照副本住址證明及見證人之身份證副本。

Client Name 客戶姓名	:	
Account Number 帳戶號碼	:	

Credit Limit/Trade Limit/Margin Loan Limit  
信用限額/交易限額/保證金貸款限額: \_\_\_\_\_

Signature of Witness 見證人簽署

Signature of Guarantor 擔保人簽署

\_\_\_\_\_  
Name of Witness

見證人姓名:

ID no. of Witness

見證人身份證號碼:

\_\_\_\_\_  
Name of Guarantor

擔保人姓名:

ID no. of Guarantor

見證人身份證號碼:

Approved By

\_\_\_\_\_  
(Managing Director 常務董事)