



證券收費表 Charges Table

項目 Description	收費 (港元) Charges (HKD)
佣金 Commission	成交金額的 0.25% (最低收費\$100.00) 0.25% of gross amount (Min. Charges \$100)
聯交所交易徵費 Trading Fee	成交金額的 0.00565% 0.00565% of gross amount
證監會交易徵費 SFC Transaction Levy	成交金額的 0.0027% 0.0027% of gross amount
財務匯報局交易徵費 FRC Transaction Levy **	成交金額的 0.00015% (計至最接近的仙位數) 0.00015% of gross amount (rounded to the nearest cent)
印花稅 Stamp Duty	成交金額的 0.10% (不足\$1 元亦作 \$1 元計) 0.10% of gross amount, \$1.00 (rounded up to the nearest dollars)
中央結算費 CCASS Fee	成交金額之 0.008% 0.008% of gross amount
轉手紙厘印費 Transfer Deed Stamp Duty	每張轉手紙\$5.00 / (只收賣方及新上市的股票存倉) \$5.00 per transfer form (for seller & deposit of new listed shares only)
股票存放 Stock Deposit	豁免 / 稅局厘印費\$5.00 Free / Inland Revenue Transfer Deed Stamp Duty \$5.00
股票提取 Stock Withdrawal (Physical stock withdrawal)	每手收費\$5.00 (最低收費\$100.00) ** (CCASS : \$3.5 per board lot) \$5.00 per board lot (Min. Charges \$100.00)
轉倉費 (SI) SI Fee (Delivery)	每宗提貨交收指示總值的 0.25% (最低收費\$100.00) 0.25% of gross value of a SI transaction (Min. Charges \$100.00)
投資者戶口轉倉費 (ISI) ISI Fee (Stock Deposit/Withdrawal)	豁免 Free
行使認股權證，行使供股權證或現金收購/公司收購私有化 Warrant Conversion; Right Subscription; Cash Offer/ Open Offer/Privatization Take Over	每手\$1.50，另加印花稅及每項手續費\$100.00 \$1.50 per board lot plus stamp duty if applicable and Handling Fee \$100.00
登記及過戶費 Scrip Fee 1. 經結算所 through CCASS 2. Scrip Fee (Deemed Book Close Date) a) 不涉及收取權所宣佈的記錄日期 Record date without receipt of entitlements b) 過去 12 個月未有宣佈任何截止過戶日期，則以 12 月 31 日計算 31 st December if no book close date announced in the past 12 months	1. 每手\$2.00 \$2.00 per board lot 2. 每手\$1.50 \$1.50 per board lot
收集費 (股息、紅利、債券利息等) Collection Fee (Cash Dividend, Cash Bonus or Cash Interest Collection)	金額的 0.5% (最低收費\$30.00) 0.5% of cash amount (Min. Charges \$30.00)
存倉費 Custody Fee	豁免 (只適用於香港股票持貨手數 5,000 以下的客戶) Free (HK Stocks holding less than 5,000 board lots are eligible to this waiver) 未有豁免的客戶：每手每月\$0.02 Ineligible clients : \$0.02 per board lot per month
代索回未領取股息或權益 Unclaimed Dividend/Bonus	每單手續費\$1,500.00，及總額的 0.25%及結算所收費 另加過戶處收費(如有) \$1,500.00 per claim plus 0.25% on gross dividend amount and CCASS handling charges and scrip fee (if any)
股票轉名註冊及手續費 Handling fee and fee for transfer of share ownership with Registrar	\$500.00 及每手\$5.00 (最低收費\$505.00) \$500.00 and 5.00 per board lot (Min. Charges \$505.00)



電匯手續費 Remittance Handling Fee	每項\$800.00, 另加收款銀行手續費(如有) \$800.00 plus receiving bank charges (if any)
退票 Dishonored cheque	每張\$200.00 \$200.00 per cheque
賬戶往來結餘紀錄單重印 Statement Re-print	如超過最近之三個月則每個月收費\$50.00 \$50.00 per month if exceed current 3 months
郵寄結單費用 Statement Post fee	每月收費 國內地址\$150 香港地址\$100 Mainland's address \$150 per month, Hong Kong's address \$100 per month
債券提取 Bond Withdrawal	每宗提貨收費 HK\$350 HK\$350 per each order
新股認購 IPO application	手續費 Handling Fee - 豁免 (只適用於網上現金申請) Free (Applicable to online cash applications) - HK\$100 (不獲退回, 適用於所有孖展申請及非網上現金申請) HK\$100 (Non-refundable, for all margin applications and non-online cash applications) 孖展借款利息 : 3.88% - 5.88% (視乎每只新股孖展情況而定) Margin Interest: 3.88% - 5.88% (Depends on the margin situation of each IPO)

美股收費表 Charges Table

項目 Description	收費 (美元) Charges (USD)
佣金 Commission	每股\$0.0049(最低收費\$5.00) \$0.0049 per Share (Min. charge \$5.00)
平台費 Platform Fee	每股\$0.0050(最低收費\$1.50) \$0.0050 per Share (Min. charge \$1.50)
結算費 Settlement Fee	每股\$0.003(最低收費\$0.01) \$0.003 per Share (Min. charge \$0.01)
交易活動費 Trading Fee	每股\$0.000166 (最低收費\$0.01) 只賣出收 \$0.000166 per Share (Min. charges \$0.01) for Seller only
DRS 轉倉費 Transfer Agent	訂單金額的 1.5%, 最低收費:USD380.00 1.50% of Gross Amount (Min. charge \$380.00)
代收股息稅 Collection of dividend Tax	金額的 30% (代美國稅務局收) 30% of Gross Amount (Internal Revenue Service (IRS) of the United States)
代收股息 Collection Fee - Dividend	股息除稅後後 0.30%, 最低收費 USD0.01 0.3% of dividend amount after tax, minimum USD0.01

*** 以上收費, 本公司有權隨時修訂, 而不作另行通知。