

To: CNI Securities Group Limited

中國北方證券集團有限公司 CE No.ATM582 (FIRM ID: B01886)

26/F Times Media Centre, 133 Wanchai Road, Wanchai, Hong Kong

香港灣仔灣仔道133號卓凌中心26樓

## Settlement Instruction Form 交收指示表格

Client Name

客戶名稱

:

Client No.

客戶號碼

:

Date

日期:

Please \*tick as appropriate

請在正確擇項的方格中加剔號

**Deliver** the following stocks to counterparty

請將下述股票轉出到下列交易對手

**Receive** the following stocks from counterparty

請從交易對手收取下述股票

Any against payment?

是否有對付款項?

No

無

Yes, and the amount is

有, 其銀碼為:

Stock Code 股票號碼	Stock Name 股票名稱	Quantity 股數	職員專用 For Office Use Only	
			CCASS Ref. No.	Remark

### Counter Party Details 交易對手詳情

Broker Name 證券商名稱	:		Please settle this instruction with my personal investor account in CCASS 有關的交收將以本人在中央結算所 所開設的個人投資者戶口為對手  CCASS No.: 戶口編號: _____
Broker ID. 證券商編號	:		
Contact Person 聯絡人	:	Contact No.: 聯絡電話	
Remarks 備註:	:		
Thank you very much for your help.敬希垂注			
Yours faithfully,			

客戶簽署(請用留存本公司之印鑑簽署)

Client's Signature (please use signature(s) Chop(s) file with the Company)

<b>Office Use Only:</b>		
Check By:	Inputted By:	Approved by: